

Consulting Management Committee
October 12, 2012
1:00- 2:00 p.m.

Present: M. Breland, A. De Blas (Co-Chair), K. Fearney, J. Hepworth (Co-Chair), T. Van Hoof

Staff: B. Murray, E. Passan, S. Reis, S. Wetstone

Actions:

- The minutes of the September 14, 2012, were approved: motion by T. Van Hoof, seconded by A. De Blas, passed unanimously for approval.
- S. Reis noted the need to replace A. Rosman on the committee, as he has accepted an administrative position at another institution. The Provost's Office will begin contacting prospective members.
- Recent Draft Audit:
 - a. Reconciliation problems occurred during 9/1/11-9/15/11; they were resolved by 9/30/11, but the reconciliation deadline was never officially extended by the Provost. S. Reis appealed this issue to the auditors, and it will be removed from the report.
 - b. The report addressed issues of faculty being paid for 100% of their time over on grants and traveling while consulting.
 - i. Faculty members often travel during the summer for grants; this is a time and effort issue, where faculty have no defined work time; during the summer, faculty have no other official assignments. The important question is: Can you get your job done? S. Reis will discuss this issue with senior administration and the auditors. J. Hepworth noted that faculty responsibilities are broader than 8 a.m. – 5 p.m. The concern is that consulting should not compete or interfere with a faculty member's regular duties.
 - ii. Consulting work (grant proposal review) is often expected with certain grants (NIH).

J. Hepworth proposed a motion that the Committee not support an absolute rule prohibiting consulting when faculty members are 100% on a federal grant. T. Van Hoof seconded; motion passed unanimously.

- c. Auditors are aware of the Sunshine Provision of the Affordable Care Act, and the auditors would like the CMC to actively seek out violators.
 - i. There is a January 2013 deadline for S. Reis, S. Wetstone, M. Eagen, K. Wallace, I. Mauriello to establish policies and procedures for violations.
- Consistency Across Campuses:
 - a. Storrs and UCHC will work together to develop consistent guidelines for the use of idle, non-consumable resources (i.e. email, telephone, office).

- Conflict of Interest Policy Committee:
 - a. K. Fearney has gone through all policies relating to COI
 - b. With the Provost's Office, a website will be developed on the Faculty & Staff Resource guide, focusing on COI (and consistency with UCHC).

- University Conflict of Interest Committee (High Risk)
 - a. University-wide Conflict of Interest Committee in Consulting will be formed, through an agreement with the Provost's Office, JACC, and the University Auditors. There is a December 31 deadline to establish the committee; June 30 deadline to review all applicable high-riskcases.
 - b. This committee would be a sub-committee of the COI Policy Committee.
 - c. K. Fearney will chair this committee.
 - d. The following employees will be asked to join the Committee: M. Boyer, M. Eagen, K. Fearney, A. Harnisch, A. Howell, R. McCarthy, F. Nichols, S. Reis, J. Small, K. Wallace, N. Wallach, S. Wetstone, R. Zangari

- OFCASE Update:
 - a. Reconciliation is moving along on both the Storrs and UCHC campuses.

- Dean & Department Head Training:
 - a. Dean training has taken place on the Storrs campus
 - b. Department Head training is being prepared.
 - c. UCHC makes training mandatory for their Deans and Department Heads.

Respectfully submitted,

Brandon L. Murray