

DRAFT
Consulting Management Committee
October 7, 2011

Attendees

Members: A. Deblas, J. Hepworth (Co-Chair), A. Rosman and T. Van Hoof

Staff: C. Dunnack, K. Fearnly, E. Passan, S. Reis and S. Wetstone

The meeting was convened at 9 AM.

1. Introduction of Dr. Reis and Committee. Since Jerri has called in and is unable to see attendees, she asks that introductions be made of all those in attendance and for Scott to run the meeting using the agenda.
 - (a) Sally Reis has taken on the duties of Nancy Bull. She has been with UConn since 1981, a Board of Trustees Distinguished Professor and teaching fellow in Educational Psychology. She has experience with academic issues relating to consulting.
 - (b) Kimberly Fearnly, Interim Director of Compliance, is replacing Rachel Rubin whom she reported to for 5 years. Kim worked on the ethics code and runs the External Oversight Committee.
 - (c) Angel Deblas is a Professor of Physiology and Neurobiology at UConn and has been on the CMC committee for approximately 2-1/2 years.
 - (d) Jeri Hepworth is a Professor and Vice-Chair of Family Medicine and Director of Faculty Development Programs. She is co-chair and has been a member of the committee since 2009.
 - (e) Andrew Rosman is an Associate Professor of Accounting in Storrs. He has served as the director of the Internship Programs since 1994 and as the director of the MS in Accounting Program since 1998.
 - (f) Thomas Van Hoof is an Associate Professor in the School of Nursing, University of Connecticut. He has been a member of the committee since its start.
 - (g) Catherine Dunnack has been the University for 21 years in various administrative positions. Currently faculty Consulting Liaison for Storrs campus.
 - (h) Scott Wetstone, M.D. is the Director of Health Affairs Policy Planning at the Health Center and an Associate Professor of Community Medicine and Healthcare. He is the Director of the UCHC Faculty Consulting Office and has been on CMC since its inception.
 - (i) Evelyn Passan has been the Administrative Program Coordinator for the Faculty Consulting Program at UCHC since January, 2008
2. Vacancy on the Committee - Provost Designee: Dr. Reis will work with Provost to find replacement, hopefully by the next meeting. We are not looking for a representative of a constituency. Rather, we are looking for someone with an institutional perspective, who will take the time to learn a complex set of rules, and who is committed to staying with the committee in order to maximum the investment in learning such rules.
3. Election/appointment of co-chair. Waiting for appointment of 3rd storrs member and then committee can elect/vote on co-chair

4. Update on OFCAS (on-line faculty consulting approval system):

- (a) Storrs has had ~200 online requests. When system is working, it works well. There have been server issues within UITS; the system has been down for 2-3 hours per day over 2-3 days per week. Some faculty members are getting frustrated. UITS is doing their best to review and fix this problem but mandatory use by all of Storrs-based programs is being postponed until we have confidence the server will be up to the task. UCHC testing is now complete and successful but we are also postponing the go-live date until issues are resolved at UITS.
- (b) Implementation of phase 2 of the system is planned for July 2012. We are working with UIT to prioritize our list of system modifications. High in this list is a SAVE option when filling out the request form or having the ability for a delegate to fill out the form and for the faculty member to simply sign off and submit such a form for approvals.

The question was asked if implementation at UCHC should be postponed until Phase 2 is implemented. UCHC wants all FY 13 requests to be in the system. That would require implementation of the system no later than the early spring. We are hoping to import the FY 12 data collected manually into the system to allow all reconciliations to be performed online

5. Revisions to CMC decision # 9 Regarding Promotional Presentations:

- (a) Earlier this summer, the CMC conducted an email meeting to consider a request by a faculty member to lead a journal club. The contracting entity, a commercial firm, provided a limited list of articles for the faculty member to choose from. This situation was viewed as another case in which the faculty member does not have full control of the educational session. In a similar vein, a faculty member should have non-limited control of cases to be discussed in case review / grand rounds venues.

The proposed revisions to decision #9 articulate these situations.

- (b) Being paid to be trained to be a better speaker on a commercial entity's speakers bureau does not promote faculty professional development. Being required to use FDA approved language when referring to a contracting entity's products also implies the intent of the educational session is promotional.
- (c) The proposed revisions will be tabled until the next meeting. Dr. Reis will get opinion regarding this language from School of Pharmacy dean. Committee members are encouraged to think of other situations that should be mentioned in this policy.

2 Last audit report: The report for July 1, 2009 through June 20, 2010 is not final (i.e. it hasn't been presented to the JACC yet.) By and large this is a favorable report that

continues to report that the Faculty Consulting Program is in compliance with the founding legislation.

- (a) Item #1 – This deals with two simple errors that will be addressed.
 - (b) Item #2 – Consulting Activities Performed while Drawing Summer Salary: This issue only applies to Storrs-based programs and concerns the relationship between consulting and Time and Effort reports to the federal government for faculty on 100% research grant funding. If management implements a policy that bans all consulting during the normal work week (for those on 100% summer employment), then faculty asked to participate in federal agency study sections would have to decline. Dr. Reis will review management’s response.
 - (c) Item #3 – Conflict of Interest and Competition with the University – The auditors want more due diligence in situations in which a faculty member is consulting for a contracting entity they are working with while acting as a State employee. There are current CMC decisions regarding this already. The University is changing its threshold to consider potential research conflicts of interest for individuals from \$10,000 (the NIH threshold) to \$5,000 the NSF threshold.. A resolution was approved to authorize Scott Wetstone to revise any previously approved CMC decision to use this lower CoI threshold.
3. Teaching elsewhere policy – This policy is awkwardly stated and in need of revision.. Its primary goal is to prevent faculty from competing with the University. The policy should articulate an exemptions process. It needs to be clearer in order to provide faculty an opportunity to teach online classes, to teach elsewhere in the summer, etc. Dr. Reis will be working on revising the policy.

The committee adjourned at 9:45 AM

Respectfully submitted by:

E. Passan